

# United States District Court

## Southern District of Ohio at Cincinnati

UNITED STATES OF AMERICA

v.  
**Paul H. Volkman**

**JUDGMENT IN A CRIMINAL CASE**

Case Number: **1:07-CR-60-03**  
USM Number: **19519-424**

Pro Se  
Defendant's Attorney

**THE DEFENDANT:**

pleaded guilty to count(s): \_\_\_\_.  
 pleaded nolo contendere to count(s) \_\_\_\_ which was accepted by the court.  
 was found guilty on count(s) 1, 2, 3, 5, 6, 7, 8, 9, 10, 11, 14, 16, 17, 18, 19, 20, 21, 22 of the Indictment after a plea of not guilty.

The defendant is adjudicated guilty of these offense(s):

<u>Title &amp; Section</u>	<u>Nature of Offense</u>	<u>Offense Ended</u>	<u>Count</u>
See next page.			

The defendant is sentenced as provided in pages 2 through 6 of this judgment. The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

The defendant has been found not guilty on counts(s) 4 and 12 of the Indictment.  
 Counts \_\_\_\_\_ (is)(are) dismissed on the motion of the United States.

IT IS ORDERED that the defendant must notify the United States Attorney for this district within 30 days of any change of name, residence, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid. If ordered to pay restitution, the defendant must notify the court and the United States Attorney of material changes in the defendant's economic circumstances.

February 14, 2012

Date of Imposition of Judgment



Signature of Judicial Officer

SANDRA S. BECKWITH, Senior United States District Judge  
Name & Title of Judicial Officer

2/14/12

Date

CASE NUMBER: 1:07-CR-60-03  
 DEFENDANT: Paul H. Volkman

Judgment - Page 2 of 6

### ADDITIONAL COUNTS OF CONVICTION

<u>Title &amp; Section</u>	<u>Nature of Offense</u>	<u>Offense Ended</u>	<u>Count</u>
21 U.S.C. §§ 846, 841(a)(1), Conspiracy to Distribute Controlled Substances 841(b)(1)(C), and 18 U.S.C. §2			1
21 U.S.C. §§ 856(a)(1), (b), Maintaining Drug Involved Premises and 18 U.S.C. § 2			2 and 3
21 U.S.C. §§ 841(a)(1), Unlawful Distribution of Controlled Substances 841(b)(1)(C), and 18 U.S.C. §2			5 - 9
21 U.S.C. §§ 841(a)(1), Causing the Death of Another by Unlawful Distribution 841(b)(1)(C), and 18 U.S.C. §2			10 and 11
18 U.S.C. § 924(c)(1) and (2) Possession of a Firearm in Furtherance of Drug Trafficking Offense			14
21 U.S.C. §§ 856(a)(1), (b), Maintaining a Drug Involved Premises and 18 U.S.C. §2			16
21 U.S.C. §§ 841(a)(1), Unlawful Distribution of Controlled Substances 841(b)(1)(C), and 18 U.S.C. §2			17
21 U.S.C. §§ 841(a)(1), Causing the Death of Another by Unlawful Distribution 841(b)(1)(C), and 18 U.S.C. §2			18
21 U.S.C. §§ 856(a)(1), (b), Maintaining a Drug Involved Premises and 18 U.S.C. §2			19
21 U.S.C. §§ 841(a)(1), Causing the Death of Another by Unlawful Distribution 841(b)(1)(C), and 18 U.S.C. §2			20
21 U.S.C. §§ 841(a)(1), Unlawful Distribution of Controlled Substances 841(b)(1)(C), and 18 U.S.C. §2			21 and 22

CASE NUMBER: 1:07-CR-60-03  
DEFENDANT: Paul H. Volkman

Judgment - Page 3 of 6

**IMPRISONMENT**

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of 240 Months on each of Counts 1, 5, 6, 7, 8, 9, 17, 19, 21, and 22, terms to run concurrently with each other; 120 Months on each of Counts 2, 3, 16 and 19, terms to run concurrently with each other and with Counts 1, 5, 6, 7, 8, 9, 17, 19, 21, and 22; Life on each of Counts 10, 11, 18 and 20, terms to run consecutively to each other and concurrently with all other counts EXCEPT for Count 14; 60 months on Count 14, term to run consecutively to all other counts.

[X] The court makes the following recommendations to the Bureau of Prisons:  
Defendant shall undergo a psychological examination and participate in any available recommended treatment programs.  
Defendant shall be placed in the closest appropriate federal medical facility to Chicago, Illinois. The BOP must explain to this Court why this placement recommendation was not honored in the event of an alternate placement.

[✓] The defendant is remanded to the custody of the United States Marshal.

[ ] The defendant shall surrender to the United States Marshal for this district.  
[ ] at \_\_\_\_ on \_\_\_\_.  
[ ] as notified by the United States Marshal.

[ ] The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons:  
[ ] before 2:00 p.m. on \_\_\_\_.  
[ ] as notified by the United States Marshal but no sooner than  
[ ] as notified by the Probation or Pretrial Services Office.

**RETURN**

I have executed this judgment as follows:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_Defendant delivered on \_\_\_\_\_ to \_\_\_\_\_  
at \_\_\_\_\_, with a certified copy of this judgment.\_\_\_\_\_  
UNITED STATES MARSHALBy \_\_\_\_\_  
Deputy U.S. Marshal

CASE NUMBER: 1:07-CR-60-03  
DEFENDANT: Paul H. Volkman

Judgment - Page 4 of 6

## SUPERVISED RELEASE

Upon release from imprisonment, the defendant shall be on supervised release for a term of Three Years on All Counts, terms to run concurrently.

The defendant must report to the probation office in the district to which the defendant is released within 72 hours of release from the custody of the Bureau of Prisons.

The defendant shall not commit another federal, state, or local crime.

The defendant shall not unlawfully possess a controlled substance. The defendant shall refrain from any unlawful use of a controlled substance. The defendant shall submit to one drug test within 15 days of release from imprisonment and at least two periodic drug tests thereafter, as determined by the Court.

- The above drug testing condition is suspended, based on the court's determination that the defendant poses a low risk of future substance abuse. (Check, if applicable.)
- The defendant shall not possess a firearm, ammunition, destructive device, or any other dangerous weapon. (Check, if applicable.)
- The defendant shall cooperate in the collection of DNA as directed by the probation officer. (Check, if applicable.)
- The defendant shall register with the state sex offender registration agency in the state where the defendant resides, works, or is a student, as directed by the probation officer. (Check, if applicable.)
- The defendant shall participate in an approved program for domestic violence. (Check, if applicable.)

If this judgment imposes a fine or restitution, it is a condition of supervised release that the defendant pay in accordance with the Schedule of Payments sheet of this judgment.

The defendant must comply with the standard conditions that have been adopted by this court as well as with any additional conditions on the attached page.

## STANDARD CONDITIONS OF SUPERVISION

- 1) the defendant shall not leave the judicial district without permission of the court or probation officer;
- 2) the defendant shall report to the probation officer in a manner and frequency directed by the court or probation officer;;
- 3) the defendant shall answer truthfully all inquiries by the probation officer and follow the instructions of the probation officer;
- 4) the defendant shall support his or her dependants and meet other family responsibilities;
- 5) the defendant shall work regularly at a lawful occupation, unless excused by the probation officer for schooling, training or other acceptable reasons;
- 6) the defendant shall notify the probation officer at least ten days prior to any change in residence or employment;
- 7) the defendant shall refrain from excessive use of alcohol and shall not purchase, possess, use, distribute, or administer any controlled substance or any paraphernalia related to any controlled substances, except as prescribed by a physician;
- 8) the defendant shall not frequent places where controlled substances are illegally sold, used, distributed, or administered;
- 9) the defendant shall not associate with any persons engaged in criminal activity, and shall not associate with any person convicted of a felony unless granted permission to do so by the probation officer;
- 10) the defendant shall permit a probation officer to visit him or her at any time at home or elsewhere and shall permit confiscation of any contraband observed in plain view of the probation officer;
- 11) the defendant shall notify the probation officer within seventy-two hours of being arrested or questioned by a law enforcement officer;
- 12) the defendant shall not enter into any agreement to act as an informer or a special agent of a law enforcement agency without the permission of the court; and
- 13) as directed by the probation officer, the defendant shall notify third parties of risks that may be occasioned by the defendant's criminal record or personal history or characteristics, and shall permit the probation officer to make such notifications and to confirm the defendant's compliance with such notification requirement.

## SPECIAL CONDITIONS OF SUPERVISION

- 14) Defendant shall disclose financial information to the probation officer upon request, and pay any balance remaining on his monetary obligations and forfeiture.
- 15) Defendant may not incur new credit charges or establish lines of credit until his monetary obligations are fully satisfied.
- 16) Defendant may not be employed in the medical, pharmaceutical or related fields, or conduct research in these areas.

CASE NUMBER: 1:07-CR-60-03  
DEFENDANT: Paul H. Volkman

Judgment - Page 5 of 6

**CRIMINAL MONETARY PENALTIES**

The defendant must pay the total criminal monetary penalties under the Schedule of Payments on Sheet 6.

	<u>Assessment</u>	<u>Fine</u>	<u>Restitution</u>
Totals:	\$ 1,800.00	\$ 0.00	\$ n/a

The determination of restitution is deferred until \_\_\_. An amended Judgment in a Criminal Case (AO 245C) will be entered after such determination.

The defendant must make restitution (including community restitution) to the following payees in the amounts listed below.

If the defendant makes a partial payment, each payee shall receive an approximately proportioned payment unless specified otherwise in the priority order of percentage payment column below. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.

<u>Name of Payee</u>	<u>Loss</u>	<u>*Total</u>	<u>Restitution Ordered</u>	<u>Priority or Percentage</u>
<u>TOTALS:</u>	\$ _____	\$ _____		

Restitution amount ordered pursuant to plea agreement \$\_\_\_\_\_

The defendant must pay interest on restitution and a fine of more than \$2500, unless the restitution or fine is paid in full before the fifteenth day after the date of judgment, pursuant to 18 U.S.C. §3612(f). All of the payment options on Sheet 6 may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. §3612(g).

The court determined that the defendant does not have the ability to pay interest and it is ordered that:

The interest requirement is waived for the  fine  restitution.

The interest requirement for the  fine  restitution is modified as follows:

\* Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994 but before April 23, 1996.

CASE NUMBER: 1:07-CR-60-03  
DEFENDANT: Paul H. Volkman

Judgment - Page 6 of 6

## SCHEDULE OF PAYMENTS

Having assessed the defendant's ability to pay, payment of the total criminal monetary penalties are due as follows:

A  Lump sum payment of \$ \$1,800.00 due immediately, balance due  
[ ] not later than or  
 in accordance with  C,  D,  E, or  F below; or

B  Payment to begin immediately (may be combined with  C  D, or  F below); or

C  Payment in equal installments of \$ over a period of , to commence days after the date of this judgment; or

D  Payment in equal installments of \$ over a period of , to commence days after release from imprisonment to a term of supervision; or

E  Payment during the term of supervised release will commence within (e.g., 30 or 60 days) after release from imprisonment. The Court will set the payment plan based on an assessment of the defendant's ability to pay at that time; or

F  Special instructions regarding the payment of criminal monetary penalties:  
 While incarcerated in the Bureau of Prisons, the Defendant shall pay at least \$25.00 per quarter toward the special assessment if assigned a non-UNICOR or grade 5 UNICOR job; or at least 50% of his monthly pay if assigned a UNICOR grade 1-4 job. Within thirty days of the commencement of the term of supervised release, Defendant shall pay the balance of the assessment at a rate of at least \$10 per month. The Court will reassess the Defendant's ability to pay from time to time upon the probation officer's recommendation or the Defendant's petition to reassess his ability to pay.

Unless the court has expressly ordered otherwise, if this judgment imposes imprisonment, payment of criminal monetary penalties is due during imprisonment. All criminal penalties, except those payments made through the Federal Bureau of Prisons' Inmate Financial Responsibility Program, are made to the Clerk of the Court.

The defendant shall receive credit for all payments previously made toward any criminal monetary penalties imposed.

Joint and Several (Defendant and Co-Defendant names and Case Numbers, Total Amount, Joint and Several Amount and corresponding payee, if appropriate.):

The defendant shall pay the cost of prosecution.

The defendant shall pay the following court cost(s):

The defendant shall forfeit the defendant's interest in the following property to the United States:  
\$1,200,000.00 in United States currency; the contents of Huntington Bank Account No. 02082812515 in the name of Paul H. Volkman, M.D.; J.P. Morgan Chase Bank (Bank One) account no. 6790064149140 in the name of Paul H. Volkman, M.D.; and Fifth Third Bank Account No. 2737660 in the name of Tri-State Health Care and Pain Management.

Payments shall be applied in the following order: (1) assessment; (2) restitution principal; (3) restitution interest; (4) fine principal; (5) fine interest; (6) community restitution; (7) penalties; and (8) costs, including cost of prosecution and court costs.